

ATTORNEY'S DOCKET NUMBER: 26440U

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: 9 DECEMBER 2004 (9.12.2004)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NUMBER: 10/517343 Not Yet Assigned
INTERNATIONAL APPLICATION NUMBER: PCT/CH03/00338	INTERNATIONAL FILING DATE: 30 MAY 2003 (30.05.2003)	PRIORITY DATE CLAIMED: 24 JUNE 2002 (24.06.2002) CH
TITLE OF INVENTION: CONNECTOR-PLUG PART FOR AN OPTICAL PLUG-IN CONNECTION, METHOD FOR CONNECTING A CONNECTOR-PLUG PART TO THE END OF AN OPTICAL WAVEGUIDE CABLE AND DEVICE FOR CARRYING OUT SAID METHOD		
APPLICANT(S) FOR DO/EO/US: Silverio De MARCHI		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) The submission must include items(5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <input checked="" type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A change of power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825 <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4) <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4) <input checked="" type="checkbox"/> Other items or information: TRANSMITTAL LETTER; TRANSMITTAL LETTER TO U.S. DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING FILING UNDER 35 U.S.C. 371; INTERNATIONAL PUBLICATION NUMBER: WO 2004/001471 A1 WITH ENGLISH TRANSLATION AND WITH INTERNATIONAL SEARCH REPORT (PCT/ISA/210 IN ENGLISH AND GERMAN; INTERNATIONAL PUBLICATION DATE: 31 DECEMBER 2003 (31.12.2003), WHICH CONSISTS OF 20 PAGES: 2 PAGES OF THE COVER SHEET WITH THE ENGLISH ABSTRACT, 10 PAGES OF TEXTUAL SPECIFICATION, 4 PAGES OF 17 CLAIMS, 4 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH ATTACHMENT A TO BE EXAMINED; EXECUTED INVENTOR'S DECLARATION AND POWER OF ATTORNEY; EXECUTED ASSIGNMENT WITH RECORDATION COVER SHEET; INFORMATION DISCLOSURE STATEMENT; PTO FORM-1449 WITH TWO (2) CITED PRIOR ART REFERENCES; POSTCARD FOR EARLY NOTIFICATION OF SERIAL NUMBER. 		

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17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): (to include Basic National Stage Fee, National Stage Search Fee, and National Stage Examination Fee) International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$750.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,110.00 International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) IPEA-U.S.\$ 100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	<u>CALCULATIONS</u> \$ 1,000.00 \$ 1,000.00	<u>PTO USE ONLY</u>
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Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 0.00	
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CLAIMS	NUMBER: FILED	NUMBER: EXTRA	RATE		
TOTAL	17-20 =	0	X \$ 50.00	\$.00
INDEPENDENT	2- 3 =	0	X \$ 200.00	\$.00
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$	0.00
TOTAL OF ABOVE CALCULATIONS =				\$	1,000 .00
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$.00
SUBTOTAL =				\$.00
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
TOTAL NATIONAL FEE =				\$	1,000.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	40.00
TOTAL FEES ENCLOSED =				\$	1,040.00
				Amount to be:	
				refunded	\$
				charged	\$

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a. ☒ Two checks in the amounts of \$ 1,000.00 and \$ 40.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account Number: 14-0112 in the amount of \$_____ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account Number: 14-0112.

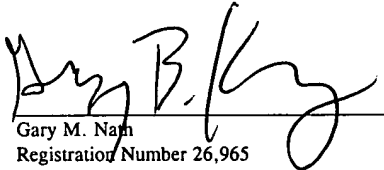
d. Fees are to be charged to a credit card ____ WARNING: Information on this form may become public ____ Credit Card Information should not be included on this form. ____ Provide credit card information and authorization on PTO-2038

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

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10/517343

MAIL STOP PCT

Attorney Docket: 26440U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

De MARCHI

DT09 Rec'd PCT/PTO 09 DEC 2004

International Application Number: PCT/CH03/00338

Serial Number: NOT YET ASSIGNED

International Filing Date: 30 May 2003 (30.05.2003)

Filed: December 9, 2004

For: **CONNECTOR-PLUG PART FOR AN OPTICAL PLUG-IN CONNECTION, METHOD FOR CONNECTING A CONNECTOR-PLUG PART TO THE END OF AN OPTICAL WAVEGUIDE CABLE AND DEVICE FOR CARRYING OUT SAID METHOD**

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

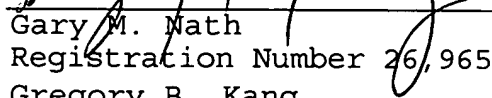
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter;
- (2) Transmittal Letter to U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371; International Publication No: WO 03/103536 A2 with English Translation, with International Search Report (PCT/ISA/210) in English and German, International Publication Date: 31 December 2003 (31.12.2003), which consists of 20 pages:
 - 2 Pages of the Cover Sheet with the English Abstract,
 - 10 Pages of Textual Specification,
 - 4 Pages of 17 Claims,
 - 4 Sheets of Drawings;
- (3) **Preliminary Amendment with Attachment A to be Examined;**
- (4) Executed Inventor's Declaration and Power of Attorney;
- (5) Executed Assignment with Recordation Cover Sheet;
- (6) Information Disclosure Statement;
- (7) PTO Form-1449 with Two (2) Cited Prior Art References;
- (8) Check No. 22229 \$ 1,000.00 for basic filing fee, search fee, and examination fee;
- (9) Check No. 22230 \$ 40.00 for recordation fee;
- (10) Postcard for early notification of serial number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By:


Gary M. Nath
Registration Number 26,965
Gregory B. Kang
Registration Number 45,273
Customer Number 20529

Date: December 9, 2004
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